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PTO/SB/08B (04-03)

Technology Center 2100

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Substitute	e for form 1449	A/PTO		Complete if Known		
3 <u>0</u> 030101				Application Number	09/478,156	
		N DICC	OCUDE	Filing Date	January 5, 2000	
	ORMATIC ATEMENT			First Named Inventor	Edward L. BAYIATES	
.	\\ _\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	2.7		Art Unit	2172	
(Use as many sheets as necessary)				Examiner Name	Truong, Cam Y T	
et	1	of	3	Attorney Docket Number	NGM-00201	

NON-PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	\mathbb{T}^2				
cy	A1	Declaration of Chang H. Kim in Support of Scientific Software, Inc's Opposition to Nugenesis Technologies Corporation's Motion for Preliminary Injunction					
cy	Exhibit A	Preliminary Amendment dated January 19, 2001					
cy	Exhibit B	American Pharmaceutical Review - The Quarterly Review of American Pharmaceutical Business & Technology (Volume 3, Issue 2, Summer 2000)					
cy	Exhibit C	Methods and Apparatus for Storing, Retrieving and Manipulating Data Produced by Different Windows 3.1, Windows 95 and Windows NT Application Programs by Mantra Software Corp., et al.					
cy	Exhibit D	Affidavit of Ajit S. Nagral					
cy	Exhibit E	Nugenesis Technologies Corporation's Response to Defendant/Counterclaimant Scientific Software, Inc.'s First Set of Interrogatories dated November 12, 2001					
cy	Exhibit F	Defendant/Counterclaimant Scientific Software, Inc.'s Supplemental Responses to Nugenesis First Set of Interrogatories dated November 16, 2001					
cy	Exhibit G	Functional Specification for LabTALK V1.0 by FITZ BUSH					
ay/	Exhibit I	Copy of postcard regarding application filed on February 4, 1998 entitled Methods and Apparatus for Storing, Retrieving and Manipulating Data Produced by Different Windows 3.1, Windows 95 and Windows NT Application Programs by NAGRAL, et al.					

Examiner	CAMAM	Date	2113104
Signature		Considered	

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Substitut	e for form 1449	A/PTO		Complete if Known		
0400	•			Application Number	09/478,156	
18.17		NI DIG	CL OSLIBE	Filing Date	January 5, 2000	
			CLOSURE PPLICANT	First Named Inventor	Edward L. BAYIATES	
017				Art Unit	2172	
	(Use as many	sheets as	necessary)	Examiner Name	Truong, Cam Y T	
Sheet	2	of	3	Attorney Docket Number	NGM-00201	

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Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
cy	Exhibit J	Letter to Mark J. Danielson, Esq. dated December 28, 2001 by Anastasia M. Fernands	
oy	Exhibit K	Functional Specification for NuGenesis V1.1 dated June 9, 1998 by FITZ BUSH	
ar	Exhibit L	Mantra Software Meeting Memo dated November 14, 1997 regarding new requirements for first release	
ay	Exhibit M	San Jose Demo and Pfizer Demo dated 10/16/97	
ay	Exhibit N	Purchase Order from Pfizer Inc. to Mantra Software Corp. dated December 16, 1997	
cy	Exhibit O	Invoice from Mantra Software Corporation to Pfizer Inc. dated June 4, 1998	
oy	Exhibit P	Mantra Software Employee Travel Report for week ended October 18, 1997 by Greg Murphy	
ay	Exhibit Q	Letter to Anastasia M. Fernands, Esq. dated December 7, 2001 from Mark J. Danielson	
ar	Exhibit R	Invoice from Mantra Software Corporation dated January 13, 1999 to Computerised Information Technology LTD	
cy	Exhibit S	Request for Refund dated April 15, 1999	

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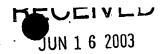
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Substitu	ate for form 1449A/	/PTO		Comp		
0400				Application Number	09/478,156	
INIE	ORMATIO	N DIS	CI OSLIDE	Filing Date	January 5, 2000	
	ATEMENT			First Named Inventor	January 5, 2000 Edward L. BAYIATES	
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	(Use as many sl	heets as ne	cessary)	Examiner Name	Truong, Cam Y T GROUNGM 00201	JP 3600
Sheet	3	of	3	Attorney Docket Number	NGM-00201	

NON-PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²					
ey	Exhibit T	Issue Fee Transmittal dated April 17, 2001						
cy	Exhibit U	Nugenesis Technologies Customer Base Expands 50%						
Cy	Exhibit V	Quotation No. 53 from Mantra Software Corporation to Pfizer/Paul Aldridge dated November 24, 1997						
ay	Exhibit W	Copy of Attorney Advisor (Melvin T. Axilbund) regarding abandonment dated March 30, 1999						
cy	Exhibit X	U.S. Department of Commerce Patent and Trademark Office Fee Record Sheet regarding Trademark Application Serial No. 75390271 dated December 2, 1997						
ay	Exhibit Y	Mantra Software Corporation Bay Area Investors Meeting Agenda, Silicon Valley Capital Club, San Jose, CA dated January 15, 1998						
ay	Tab 1	Deposition of Michael E. McGuinness dated January 7, 2002, Volume 1, pages 1-220						
ay	Tab 2	Deposition of Donald W. Muirhead dated December 17, 2001, Volume 1, pages 1-73						
ay	Tab 3	Deposition of Ajit Nagral dated December 14, 2001, Volume 1, pages 1-176						
oy	Tab 4	Deposition of Gregory P. Murphy, dated January 9, 2002, Volume 1, pages 1-89; Deposition of Fitzhugh Bush, III dated January 8, 2002, Volume 1, pages 1-168						
as	A2	Nugenesis Technologies Corporation's Response to Scientific Software, Inc.'s Counterclaims						

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		Petzold C., "Environm	ents-Metafile Sup	port Under O	S/2 Graphics Programming	Interface", PC Ma	gazine, 9/25/90		
		Aggarwal et al., "WIR	E-a WWW-based	information r	ctrieval and extraction syst	cm", Database and	Export Systems Applic	ations, 1998.	
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				Application Number	09/478,156	
INE	ORMATION	אוח ו	CLOSURE	Filing Date	January 5, 2000	
	ATEMENT B			First Named Inventor	Edward L. Bayiates	
				Art Unit	2172	
	(Use as many sh	eets as n	ecessary)	Examiner Name	Cam Truong	
Sheet	1	of	2	Attorney Docket Number	NGM-00201	

			U.S. PATENT	DOCUMENTS	
Examiner Cite No. 1	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant
		Number-Kind Code ^{2 (f)}	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear
cur	AA	US-5,895,473	Apr. 20, 1999	Grant H. Williard, et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appeal	Τ°	
		Country Code ³⁻ Number ⁴⁻ Kind Code ⁵ (if known)	MM-DD-YYYY				
ey	AB	WO 99/40525	Aug. 12, 1999	Ajit Nagral, et al.			
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				Application Number	09/478,156	
INF	ORMATION	פוח ו	SCI OSLIBE	Filing Date	January 5, 2000	
	ATEMENT E			First Named Inventor	Edward L. Bayiates	
				Art Unit	2172	
(Use as many sheets as necessary)				Examiner Name	Cam Truong	
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ey	AC	Wire – A WWW-based Information Retrieval and Extraction System by SUDHIR AGGARWAL, FUYUNG HUNG and WEIYI MENG, Department of Computer Science, State University of New York at Binghamton, Binghamton, NY 13902, published in 1998	
cy	AD	Environments – Metafile Support Under the OS/2 Graphics Programming Interface by CHARLES PETZOLD, PC Magazine, published September 25, 1990	
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